

Amalgamated Free Products of Hyperfinite W^* -Algebras

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The Amalgamated Free Product

Definition

We say that von Neumann algebras A and B are *free with amalgamation* over a subalgebra D in some larger algebra \mathcal{M} with trace preserving conditional expectation onto D if $E_D(x_1 x_2 \dots x_n) = 0$ whenever $E_D(x_i) = 0$ for all i and the x_i alternate between A and B .

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Definition

The *amalgamated free product* of two von Neumann algebras A and B over common subalgebra D , $A *_D B$, is a von Neumann algebra generated by A and B so that A and B are free with amalgamation D in $A *_D B$.

Hyperfinite von Neumann Algebras

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Semifinite hyperfinite von Neumann algebras can be written as the direct sum of the following types of algebras:

- ▶ Matrix Algebras
- ▶ $B(\mathcal{H})$
- ▶ Matrix Algebras or $B(\mathcal{H})$ tensor $L^\infty(\nu)$, where ν is diffuse and semifinite.
- ▶ $R \otimes L^\infty(\mu)$, where R is the hyperfinite II_1 factor.
- ▶ $R \otimes B(\mathcal{H}) \otimes L^\infty(\mu)$.

In general we will be working with semifinite von Neumann algebras, with specified trace, and specified trace preserving expectation onto subalgebra D (where the trace should also be semifinite). In general our morphisms will be trace preserving.

Interpolated Free Group Factors

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They have the following properties:

1. If $r \in \mathbb{Z}, 2 \leq r \leq \infty$ then $L(F_r)$ is the factor associated to the free group on r elements.
2. For $1 < r, r' \leq \infty$, $L(F_r) * L(F_{r'}) = L(F_{r+r'})$.
3. For $1 < r \leq \infty$ and $0 < \gamma < \infty$, $L(F_r)_\gamma = L(F_{1+(r-1)/\gamma^2})$, where $L(F_r)_\gamma$ is the compression or dilation γ of $L(F_r)$ by γ .

- ▶ \mathcal{R}_1 (referred to as \mathcal{R} in Dykema's original paper) is the class of finite von Neumann algebras which are the finite direct sum of: Matrix algebras, Matrix algebras tensor $L^\infty([0, 1])$, Hyperfinite II_1 factors, and interpolated free group factors.

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- ▶ \mathcal{R}_3 is the class of finite von Neumann algebras which are the direct sum of a hyperfinite von Neumann algebra and a countable number of interpolated free group factors.
- ▶ \mathcal{R}_4 is the class of semifinite von Neumann algebras which are the direct sum of a hyperfinite von Neumann algebra and a countable number of interpolated free group factors and $B(\mathcal{H}) \otimes L(F_t)$.

Free Dimension

Definition

Let A be a finite von Neumann algebra in \mathcal{R}_3 , written in the following format

$$A = H \oplus \bigoplus_{i \in I} L(F_{r_i}^{p_i}) \oplus \bigoplus_{j \in J} M_{n_j},$$

where H is a diffuse hyperfinite algebra, the $L(F_{r_i})$ are interpolated free group factors. The *free dimension*

$$\text{fdim}(A) = 1 + \left(\sum_{i \in I} \tau(p_i)^2 (r_i - 1) \right) - \sum_{j \in J} t_j^2.$$

1. For A a diffuse hyperfinite algebra, $\text{fdim}(A) = 1$.
2. For $A = L(F_r)$, an interpolated free group factor, $\text{fdim}(A) = r$.
3. For $A = \bigoplus_{j \in J} M_{n_j}$ a multimatrix algebra,
$$\text{fdim}(A) = 1 - \sum_{j \in J} t_j^2.$$
4. For any A , $\text{fdim}(A) \geq 0$, and $\text{fdim}(\mathbb{C}) = 0$.

Hyperfinite von Neumann Algebras over \mathbb{C}

Theorem (Dykema 1993)

The standard free product of two finite hyperfinite von Neumann algebras A and B is of the form

$$F \oplus \bigoplus_{i \in I} M_{n_i}$$

*where F is an interpolated free group factor or diffuse type I hyperfinite algebra, and I is finite. Furthermore the $\text{fdim}(A * B) = \text{fdim}(A) + \text{fdim}(B)$.*

The Amalgamated Free Product of Multimatrix Algebras

Theorem (Dykema 1995)

*For A and B multimatrix algebras with subalgebra D , $A *_D B$ is in \mathcal{R}_3 , and if D is finite dimensional then it is in \mathcal{R}_2 . Furthermore $\text{fdim}(A *_D B) = \text{fdim}(A) + \text{fdim}(B) - \text{fdim}(D)$.*

Theorem (Dykema 2011)

\mathcal{R}_1 is closed under amalgamated free products over finite dimensional subspaces. They also follow the formula $\text{fdim}(A *_D B) = \text{fdim}(A) + \text{fdim}(B) - \text{fdim}(D)$.

Standard Embeddings

Definition

A *standard embedding* is a unital embedding which includes an interpolated free group factor into another, $L(F_t) \rightarrow L(F_s)$, by taking a generating set of $L(F_t)$, $R \cup \{p_i X_i p_i\}_{i \in I}$ to a larger generating set for $L(F_s)$, $R \cup \{p_i X_i p_i\}_{i \in I'}$, where $I \subset I'$.

Properties of Standard Embeddings

- ▶ For $A = L(F_s)$ and $B = L(F_{s'})$, $s < s'$, then for $\phi : A \rightarrow B$ and projection $p \in A$, ϕ is standard if and only if $\phi|_{pAp} \rightarrow \phi(p)B\phi(p)$ is standard.

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- ▶ The inclusion $A \rightarrow A * B$ is standard if A is an interpolated free group factor and B is an interpolated free group factor, $L(\mathbb{Z})$, or a finite dimensional algebra other than \mathbb{C} .

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- ▶ The inclusion $A \rightarrow A * B$ is standard if A is an interpolated free group factor and B is an interpolated free group factor, $L(\mathbb{Z})$, or a finite dimensional algebra other than \mathbb{C} .
- ▶ The composition of standard embeddings is standard.
- ▶ For $A_n = L(F_{s_n})$, with $s_n < s_{n'}$ if $n < n'$, and $\phi_n : A_n \rightarrow A_{n+1}$ a sequence of standard embeddings, then the inductive limit of the A_n with the inclusions ϕ_n is $L(F_s)$ where $s = \lim_{n \rightarrow \infty} s_n$.

Theorem (Dykema, R. 2011)

*Let A and B be finite hyperfinite von Neumann algebras with finite dimensional subalgebra D . Then $A *_D B$ is in \mathcal{R}_2 . Furthermore $\text{fdim}(A *_D B) = \text{fdim}(A) + \text{fdim}(B) - \text{fdim}(D)$.*

Lemma

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Thus we can assume D is abelian, and thus isomorphic to $\bigoplus_{i=1}^n \begin{matrix} p_n^D \\ \mathbb{C} \\ t_n \end{matrix}$

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- ▶ Thus a sequence $\mathcal{M}(i, j) = A_i *_D B_j$ which approximates $\mathcal{M} = A *_D B$.
- ▶ Each $\mathcal{M}(i, j)$ is the amalgamated free product of multimatrix algebras over a multimatrix subalgebra.
- ▶ Thus we can apply Dykema's result to determine $\mathcal{M}(i, j)$.

We call an embedding a *simple step* if it of the following forms:

1. $M_n \oplus_t A \rightarrow \left(\bigoplus_{i=1}^m M_n \oplus_{t_i} \right) \oplus A.$
2. $M_n \oplus_t M_m \oplus A \rightarrow M_{n+m} \oplus_t A$

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Assume each step $A_i \rightarrow A_{i+1}$ and $B_j \rightarrow B_{j+1}$ is a simple step.

Lemma

For a minimal projection $p \in M_n$ less than some minimal projection in D .

$$p(((M_n \otimes A) \oplus B) *_D C) p \cong p((M_n \oplus B) *_D C) p * A$$

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- ▶ Play with alternating words to show this is free.



Thus we can assume that if $A_i \rightarrow A_{i+1}$ is a simple step of the first kind then $\mathcal{M}(i, j) \rightarrow \mathcal{M}(i, j)$ is induced by a standard embedding (possibly with a dilation).

Lemma

Let $\mathcal{N} = (M_m \oplus M_n \oplus B) *_D C$ and $\mathcal{M} = (M_{n+m} \oplus B) *_D C$, where B, C are semifinite von Neumann algebras and $D = \bigoplus_{i=1}^K \mathbb{C}^{p_i^D}$ with $K \in \mathbb{N} \cup \{\infty\}$. \mathcal{N} is included in \mathcal{M} by including M_m and M_n as blocks on the diagonal of M_{n+m} , and B and C by the identity. Assume there exists a partial isometry in \mathcal{N} between minimal projections in M_m and M_n (for example if there exists a factor \mathcal{F} with $M_m \oplus M_n \subseteq \mathcal{F} \subseteq \mathcal{N}$). Then for any minimal projection $p \in M_m$ such that $p \leq p_i^D$ for some i , $p\mathcal{N}p * L(\mathbb{Z}) \cong p\mathcal{M}p$.

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- ▶ Proof uses the partial isometry assumed to reduce it to a lemma proved by Dykema on the amalgamated free product of two by two matrix algebras over \mathbb{C}^2
- ▶ This shows us the simple steps of the second kind give us standard embeddings, as long as we have the partial isometry necessary.

Example

$$\mathcal{M} = L^\infty(\mu_1) \otimes R *_\alpha \mathbb{C} \oplus_{1-\alpha} \mathbb{C} L^\infty(\mu_2) \otimes R.$$

$$\text{fdim}(\mathcal{M}) = \text{fdim}(A) + \text{fdim}(B) - \text{fdim}(D) = 1 + 1 - (1 - \alpha^2 - (1 - \alpha)^2),$$

$$\text{Thus } \mathcal{M} = L(F_{1 + \alpha^2 + (1 - \alpha)^2}).$$

Theorem (Dykema, R. 2011)

The class \mathcal{R}_2 is closed under amalgamated free products over finite dimensional subalgebras. Furthermore

$$fdim(A *_D B) = fdim(A) + fdim(B) - fdim(D).$$

Regulated Dimension

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For a von Neumann algebra A with trace τ in \mathcal{R}_3 we define the *Regulated Dimension* of A to be $\text{rdim}(A) = \tau(I_A)^2(\text{fdim}(A) - 1)$.

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- ▶ Possibly negative (less than or equal to zero for hyperfinite algebras)
- ▶ Does NOT match index of interpolated free group factors.

Regulated Dimension for \mathcal{R}_4

- ▶ For $A = L(F_t) \otimes B(\mathcal{H})$ we define $\text{rdim}(A) = \text{rdim}(pAp)$ where $p \in A$ is a projection with finite trace

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- ▶ For $A = B(\mathcal{H})$, we define $\text{rdim}(A) = -t^2$
- ▶ For $A = L^\infty(\mu) \otimes B(\mathcal{H}) \otimes R$ and $A = B(\mathcal{H}) \otimes L^\infty(\nu)$ define $\text{rdim}(A) = 0$.

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Thus we can extend rdim to \mathcal{R}_4

Semifinite Free Group Factors

We will use the notation \mathcal{F}_r^t to denote the factor which is either $L(F_S)$ or $B(\mathcal{H}) \otimes L(F_S)$, with regulated dimension of r and so that $\tau(I) = t$.

Substandard Embeddings

Definition

Let ϕ be a trace preserving (and not necessarily unital) embedding of $\mathcal{F}_r^t \rightarrow \mathcal{F}_{r'}^{t'}$. We say that ϕ is a *substandard embedding* if for some (any) non-zero finite trace projection $p \in \mathcal{F}_r^t$ the embedding $\phi|_p : p\mathcal{F}_r^t p \rightarrow \phi(p)\mathcal{F}_{r'}^{t'}\phi(p)$ is standard or an isomorphism.

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For $A_n = \mathcal{F}_{r_i}^{t_i}$, and $\phi_n : A_n \rightarrow A_{n+1}$ a sequence of substandard embeddings then the inductive limit of the A_n with the inclusions ϕ_n is \mathcal{F}_r^t where $s = \lim_{n \rightarrow \infty} s_n$ and $t = \lim_{n \rightarrow \infty} t_n$.

Theorem (R. 2012)

*Let A and B be semifinite hyperfinite algebras with type I atomic subalgebra D . Then $A *_D B$ is in \mathcal{R}_4 . If they are finite then the product is in \mathcal{R}_3 . Furthermore*

$$rdim(A *_D B) = rdim(A) + rdim(B) - rdim(D) \text{ (where this is defined).}$$

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- ▶ Let $\mathcal{M}(i, j, k) = vN(q_k A(i) q_k \cup q_k B(j) q_k)$

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- ▶ Advance i, j in the same manner as the finite dimensional case
- ▶ Advance k in the same manner as the multimatrix case.

Theorem (R. 2012)

Let A and B be semifinite hyperfinite algebras with type I atomic subalgebra D . Then $A *_D B$ is in \mathcal{R}_4 . If they are finite then the product is in \mathcal{R}_3 . Furthermore $\text{rdim}(A *_D B) = \text{rdim}(A) + \text{rdim}(B) - \text{rdim}(D)$ (where this is defined).

Proof.

- ▶ Use lemma to assume D is abelian.
- ▶ Let q_k be the projections on the first k coordinates of D
- ▶ Let $\mathcal{M}(i, j, k) = vN(q_k A(i) q_k \cup q_k B(j) q_k)$
- ▶ Advance i, j in the same manner as the finite dimensional case
- ▶ Advance k in the same manner as the multimatrix case.
- ▶ Choose a path so this works.

Theorem (R. 2012)

*The classes \mathcal{R}_3 and \mathcal{R}_4 are closed under amalgamated free products over type I atomic subalgebras. Furthermore $\text{rdim}(A *_D B) = \text{rdim}(A) + \text{rdim}(B) - \text{rdim}(D)$ (where this is defined).*

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Proof.

- ▶ Check that the steps in the finite dimensional case are all induced by substandard embeddings
- ▶ Replace induction with inductive limits.



Example

$$D = \bigoplus_{i=1}^{\infty} \mathbb{C}_{\frac{1}{i}}$$

$$A = \bigoplus_{i=1}^{\infty} p_i^A R, \tau(p_i^A) = \frac{1}{2i-1} + \frac{1}{2i}$$

$$B = R \bigoplus_{i=1}^{\infty} p_i^B R, \tau(p_i^B) = \frac{1}{2i} + \frac{1}{2i+1}, \tau(p_0^B) = 1.$$

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- ▶ A and B are diffuse, and the “graph is connected”, so this is of the format \mathcal{F}_r^t for some r and t .
- ▶ $r = \text{rdim}(A) + \text{rdim}(B) - \text{rdim}(D) = 0 + 0 - (-\frac{\pi^2}{6})$
- ▶ $A *_D B = \mathcal{F}_{\frac{\pi^2}{6}}^{\infty}$.

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