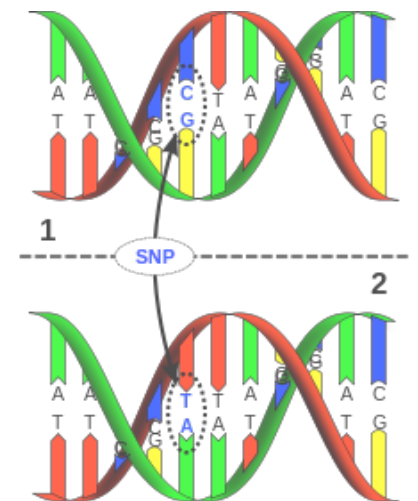


# On Genetic Determinants of Facial Shape Variation

*Washington Mio*

Florida State University

Geometric Topological and Graphical Models  
Methods in Statistics – Fields Institute  
Spring 2014

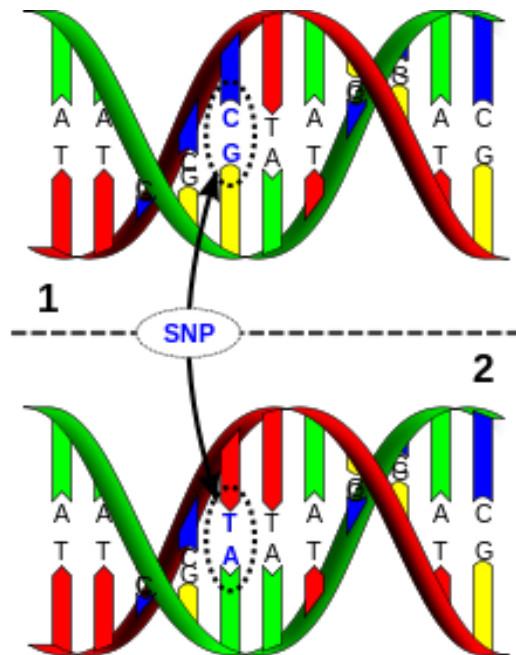


# Genetics of Organismal Shape

- Understanding relationships between genetic variation and phenotypic outcomes
- Morphogenesis: biological processes that govern the development of the shape of an organism
- Evolution and inheritance of phenotypic traits
- Personalized and predictive medicine
- Normal and pathological variation: transition to pathological states often occurs at extremes of normality

# A March Along the Genome

## Single Nucleotide Polymorphisms (SNPs)



Different alleles at a locus

... AACG**G**ATCC ...

... AACG**A**ATCC ...

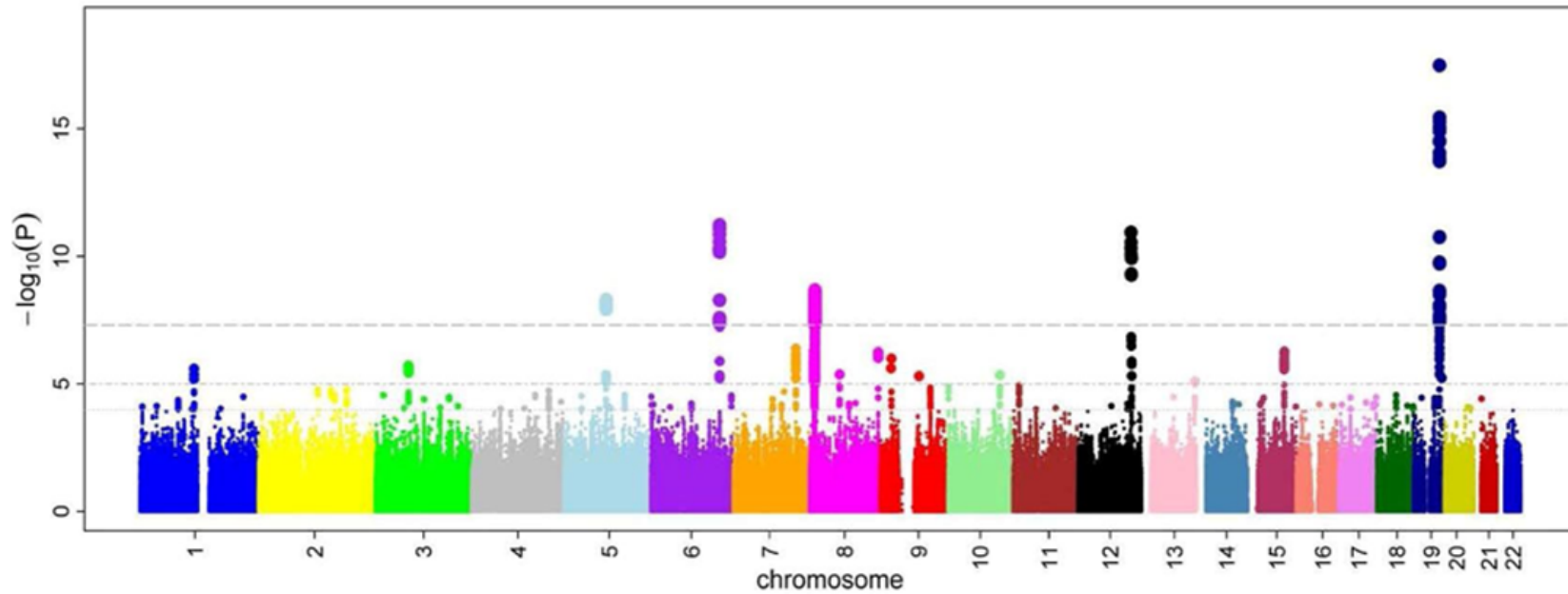
# Some Facts

- Most SNPs only have two alleles: A, a
- Pair of chromosomes: AA, Aa, aa
- Three genetic groups at each locus
- Discrete ternary trait
- Kind of continuous if assignment is probabilistic

# Genome-Wide Association Studies (GWAS)

- Is a genetic variation associated with a trait?
- SNP by SNP exploratory analysis
- First successful GWAS: age-related macular degeneration (2005) identified two SNPs
- Many traits and diseases analyzed with varying degrees of success

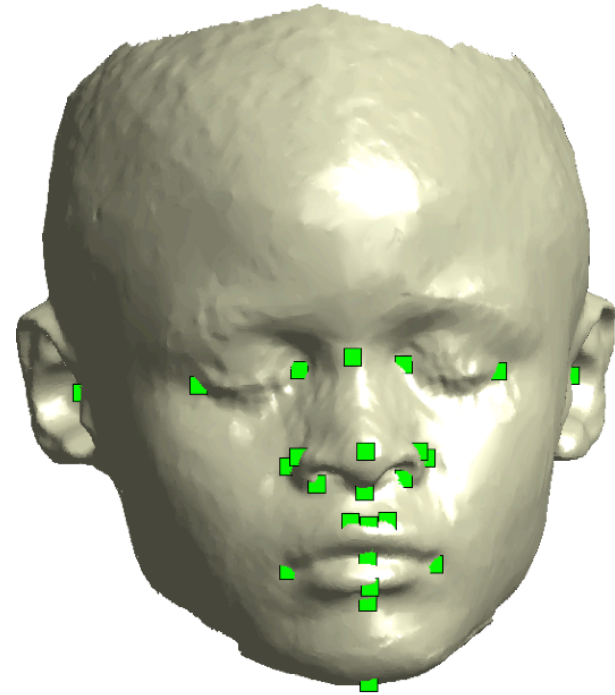
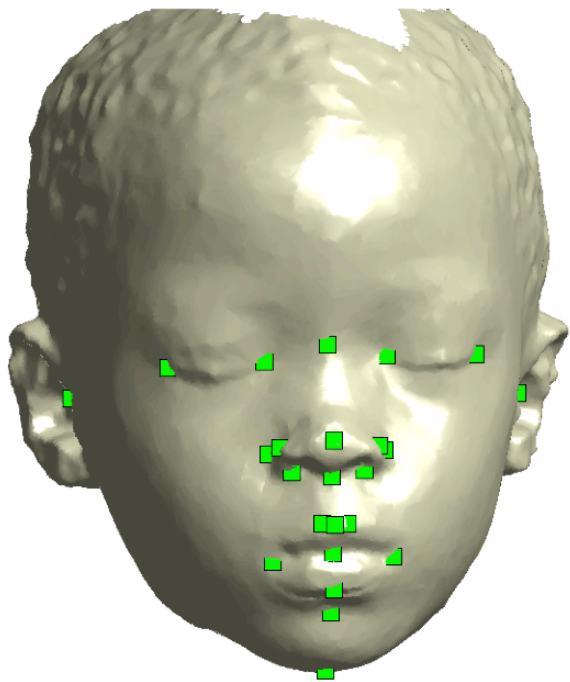
# Associations: Manhattan Plots



# GWAS of Facial Shape

- Project with Spritz Lab (UC Denver) and Hallgrímsson Lab (Calgary), part of NIH FaceBase consortium
- Study based on 3,700 Tanzanian children
- Data: 3D facial images and saliva samples
- DNA analyses have identified approximately 2,500,000 SNPs
- Replication study with 2,600 subjects
- **Task 1:** Turn facial shape into a quantitative trait

# Facial Homology

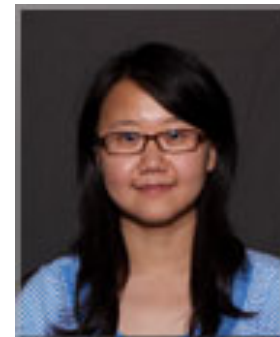




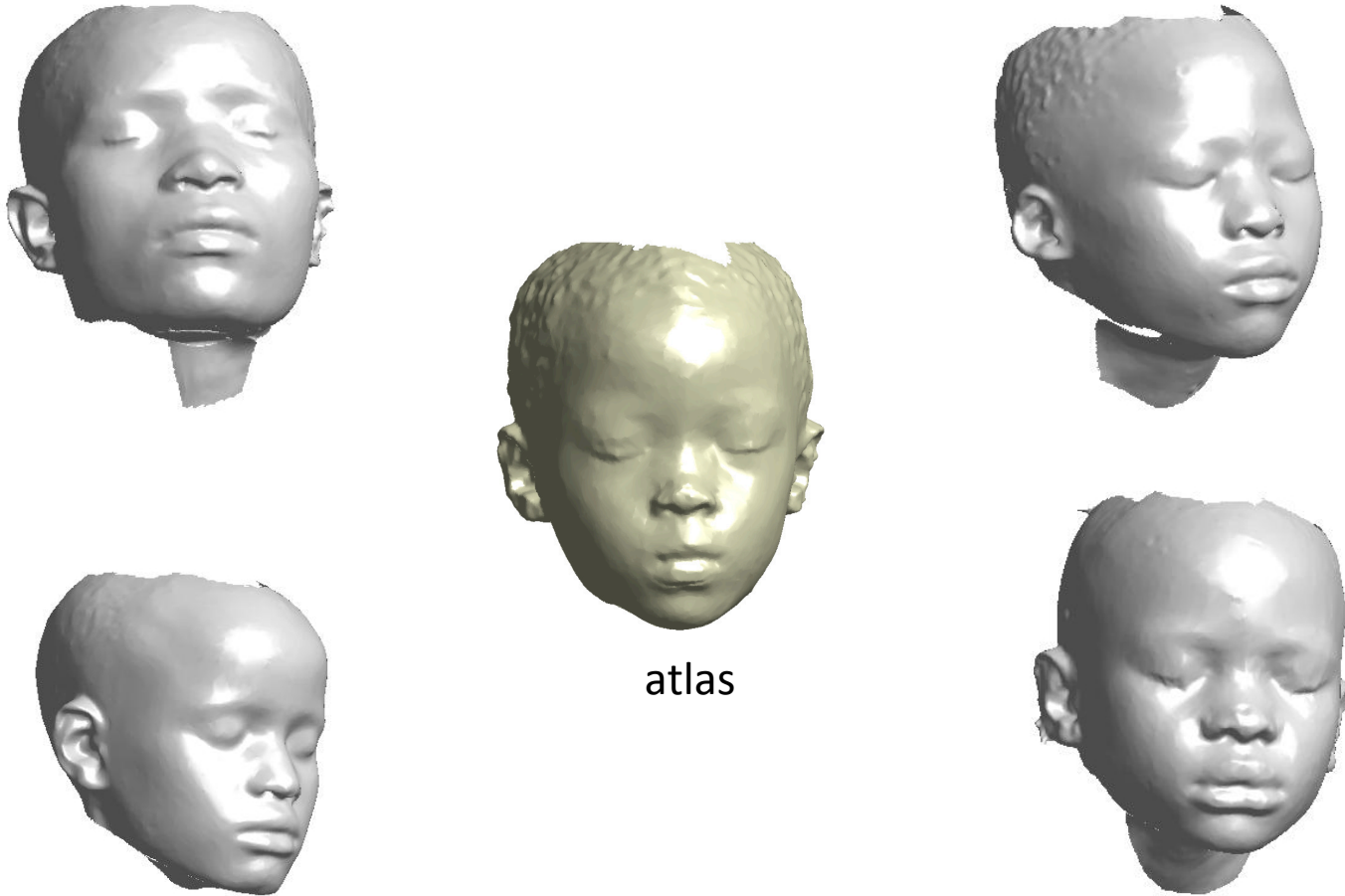
# Strategy

- Construction of a landmarked facial atlas from training data
- Coarse spatial alignment of subject and atlas: topological methods
- Fine dense registration and alignment: geometry and optimization
- Morph atlas to subject
- Transfer landmarks

Mao Li



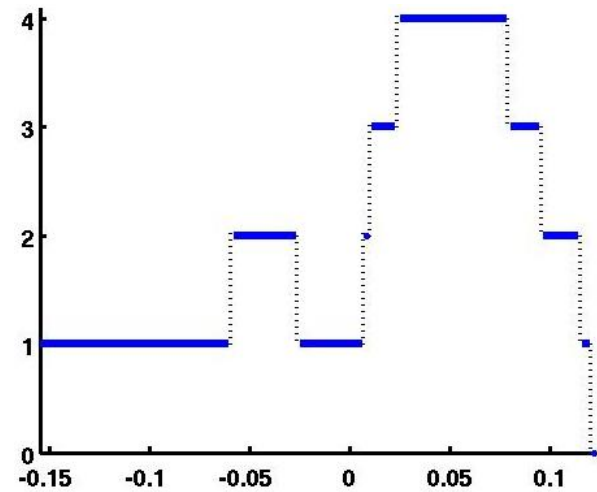
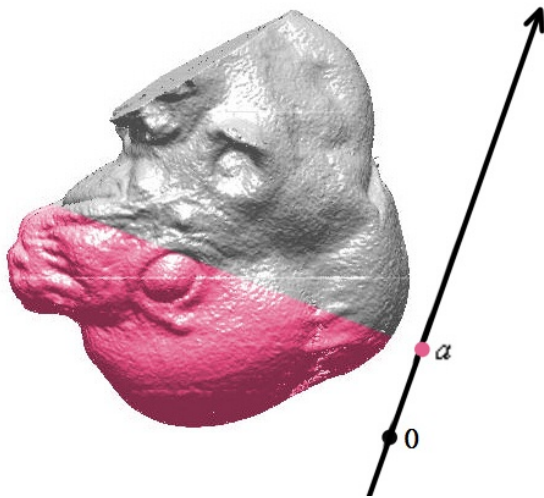
# Coarse Spatial Alignment



atlas

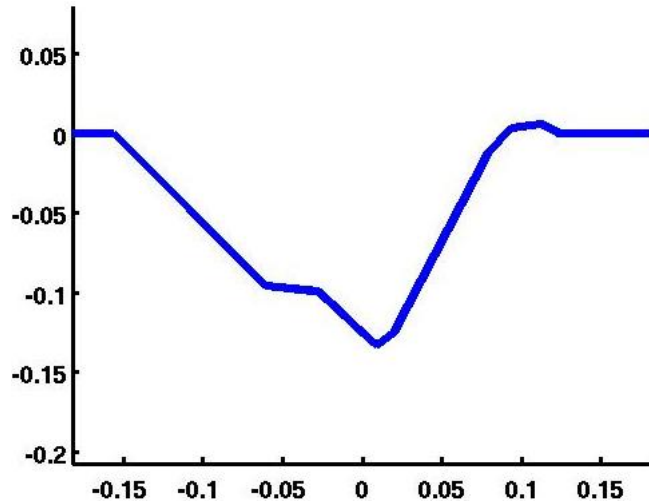
All centered and size normalized

# Euler Characteristic Curves



$$\chi_v: I \rightarrow \mathbb{Z}$$

# Cumulant EC-Curve



$$\chi_v: I \rightarrow \mathbb{Z}$$

$$\bar{\chi}_v = \chi_v - \mu_v$$

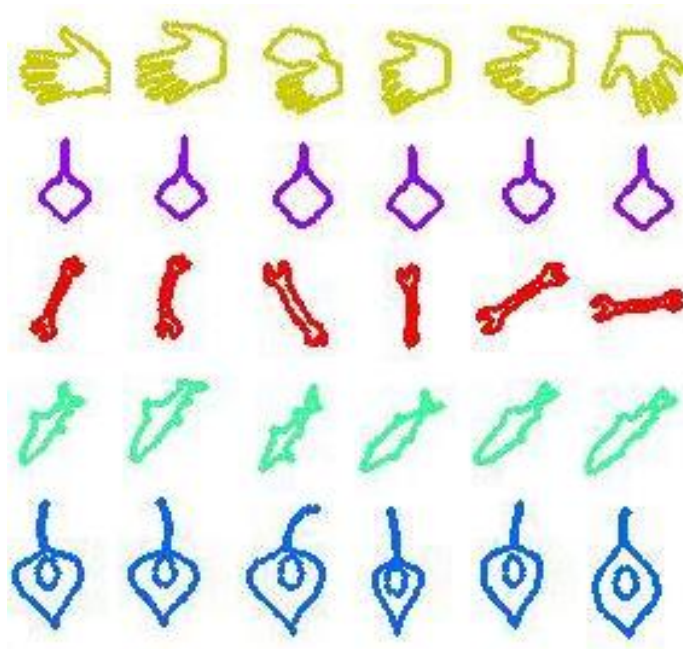
$$F_v(x) = \int_{-\infty}^x \bar{\chi}_v(y) dy$$

# EC-Signatures

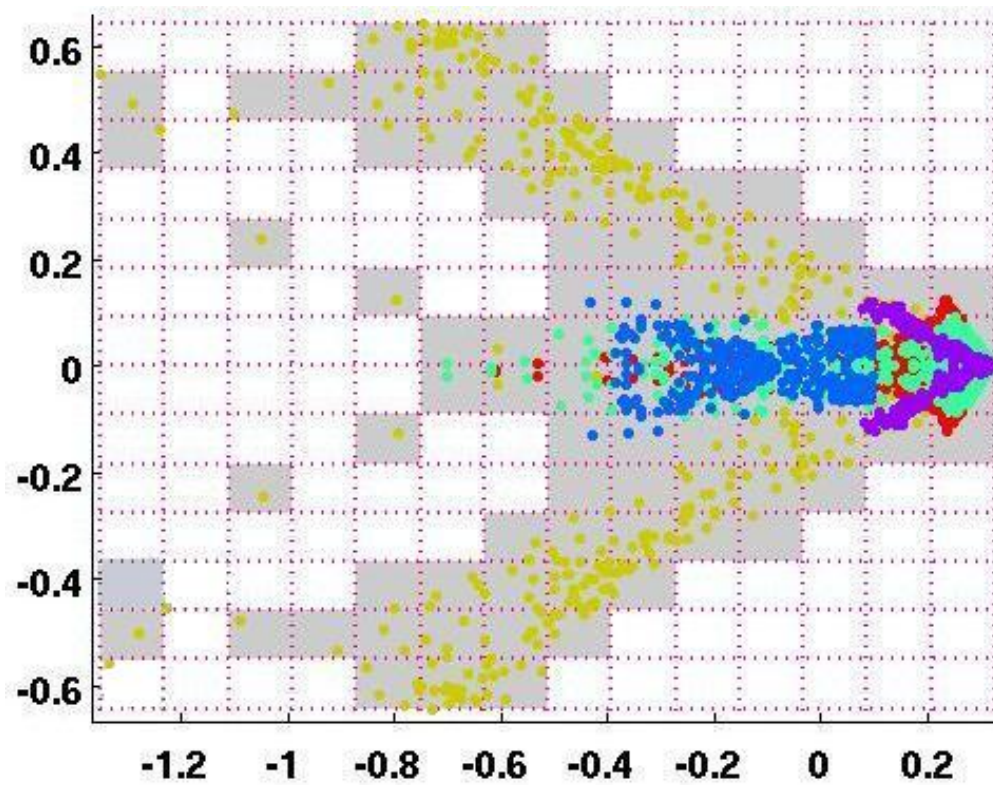
$$\Gamma_r = (\mu_r, F_r)$$

$$\Gamma_r \in \mathbb{R} \times \mathbb{L}^2$$

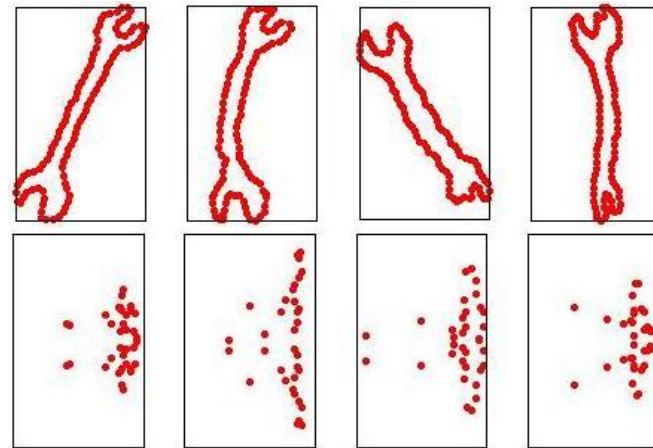
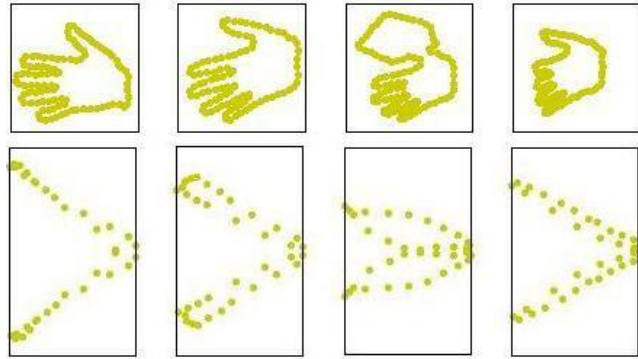
Toy Example



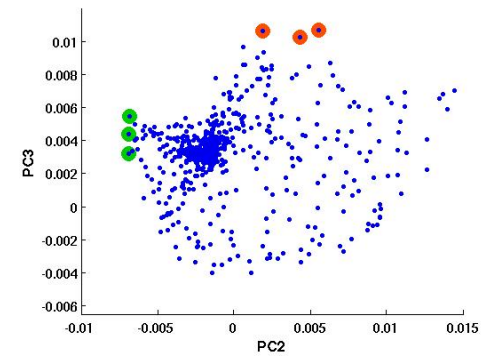
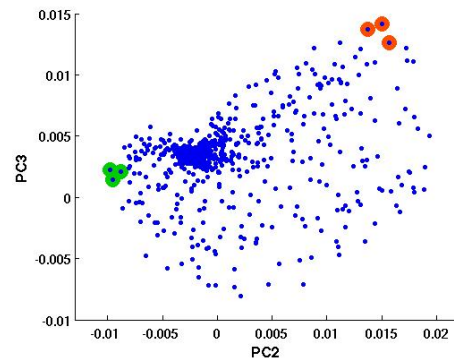
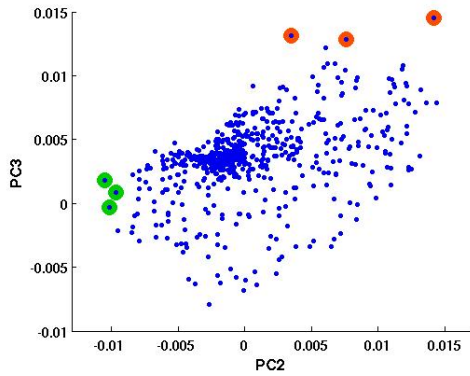
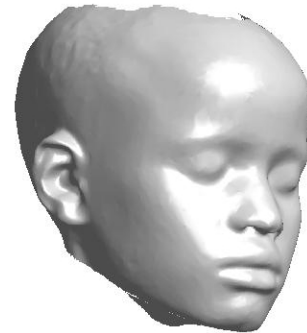
# Reduced EC-Signatures



# Point Cloud Representation

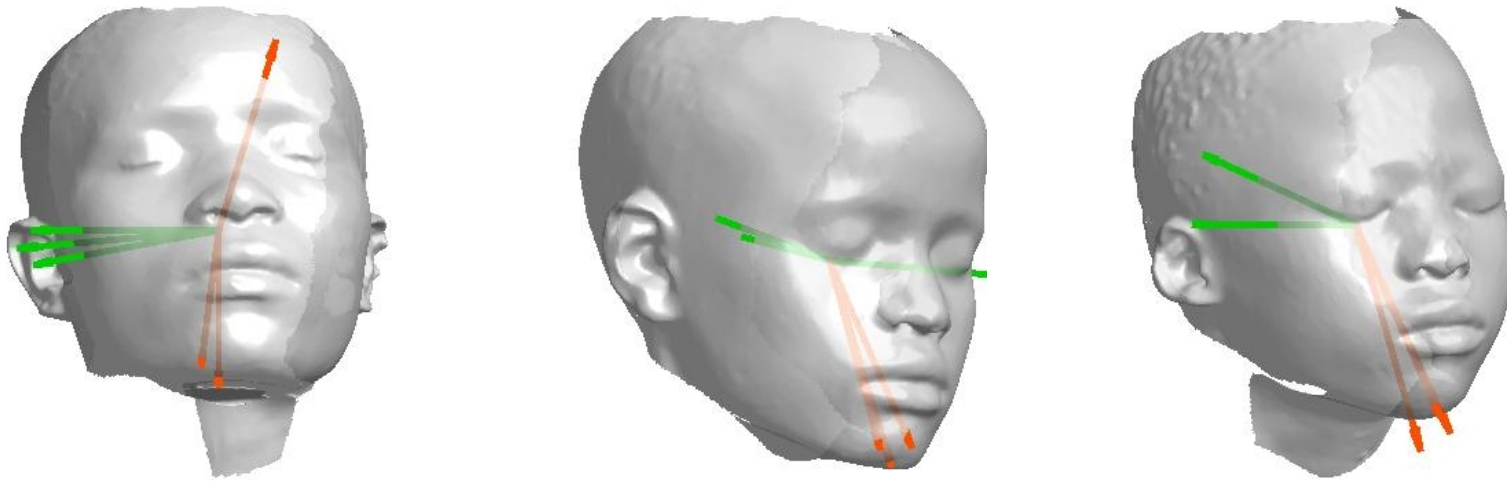


# Face Representation



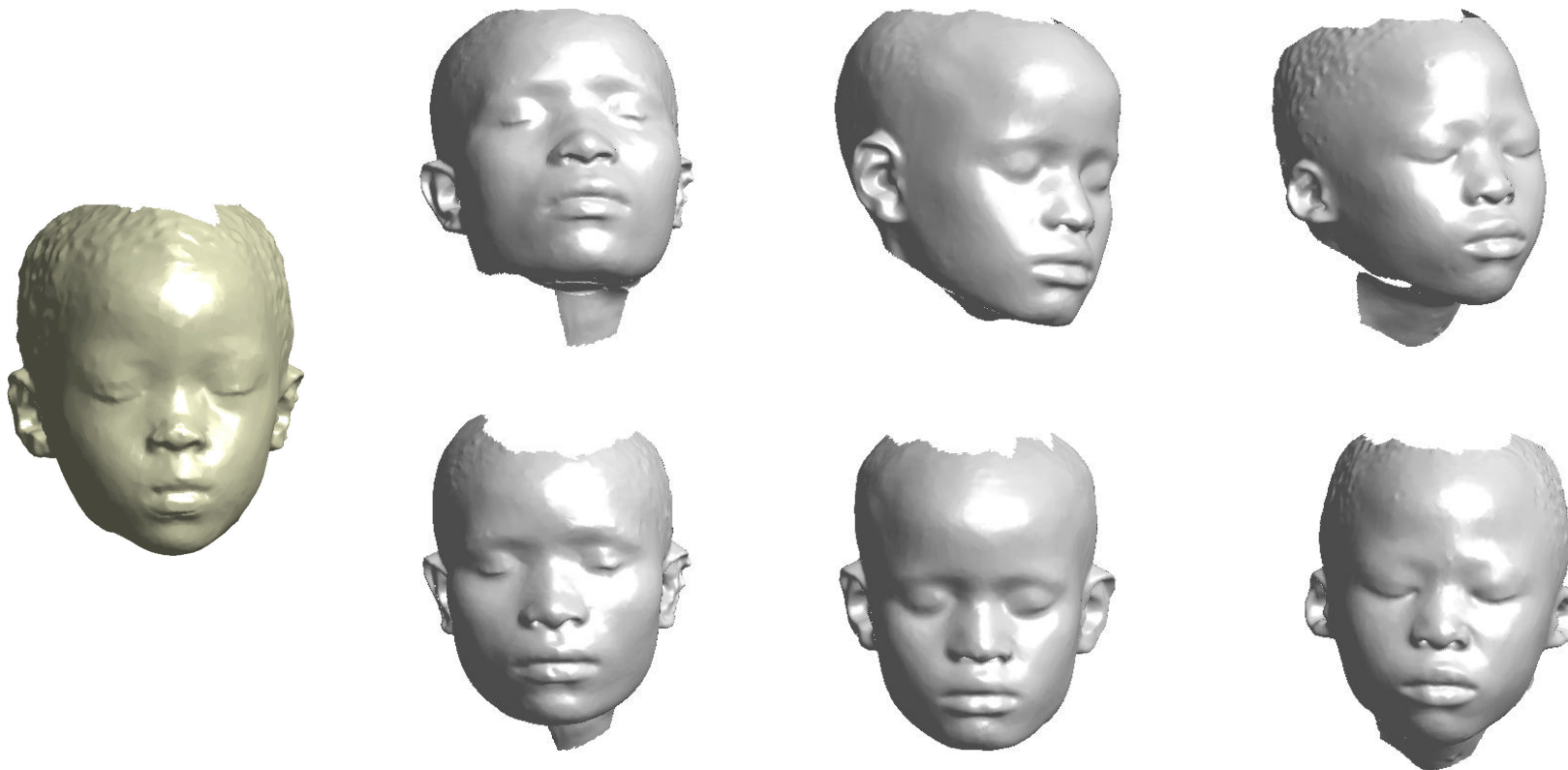


# Red and Green



$$3 \times 3 = 9$$

# Before and After



# Automated Landmarking



Face mesh



Mean curvature map



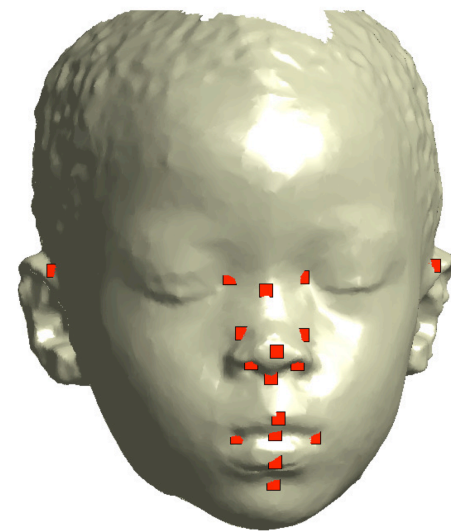
High mean curvature

# Geometric Control Points



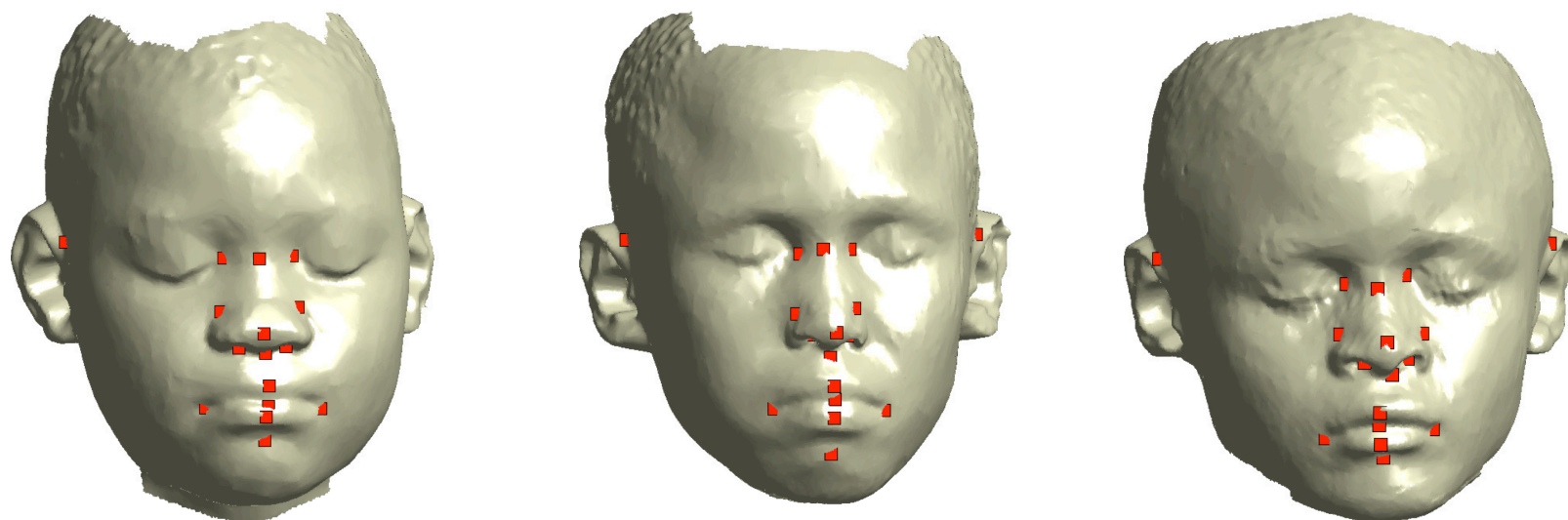
High curvature points

processing



17 control points

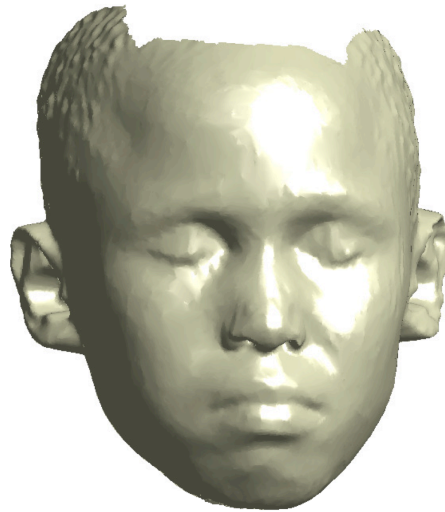
# Consistency Across Subjects



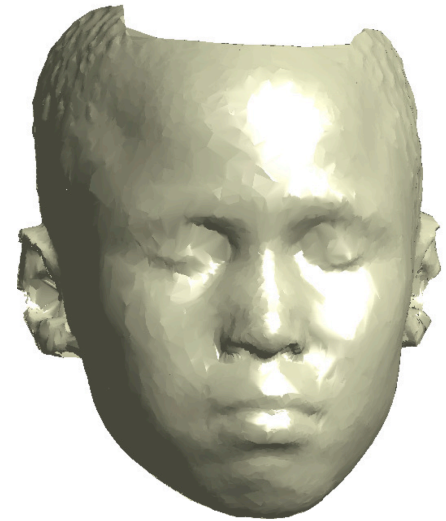
# Morphing Faces



Subject 1

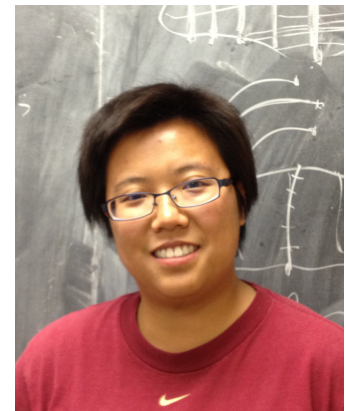


Subject 2



Morphing 1 to 2

Qiuping Xu



# Morphing Guided by Control Points

$M$  = compact Riemannian manifold

$p_1, \dots, p_n$  : control points

$a_1, \dots, a_n$  : real numbers

Construct  $f : M \rightarrow \mathbb{R}$

that minimizes

$$E(f) = \frac{1}{n} \sum_i (f(p_i) - a_i)^2 + \lambda \int_M (\Delta f(p))^2 dV(p)$$

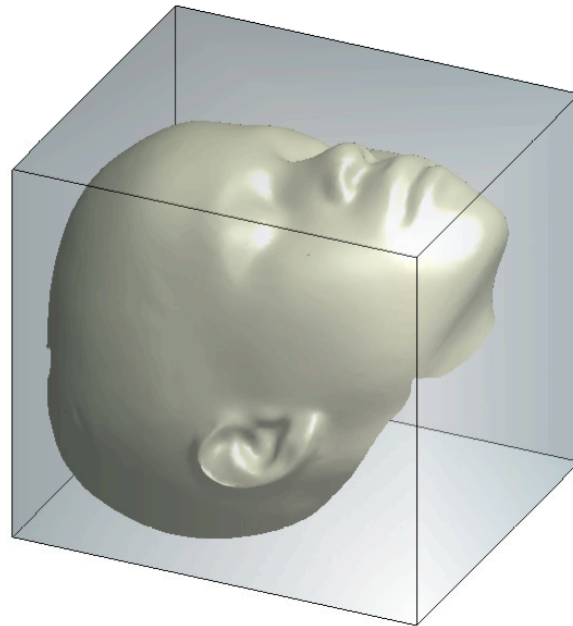
Treated in the setting of reproducing kernel Hilbert spaces

# Remarks

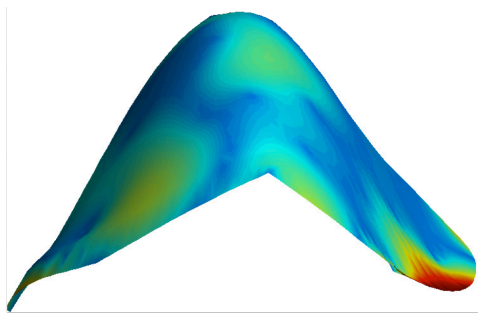
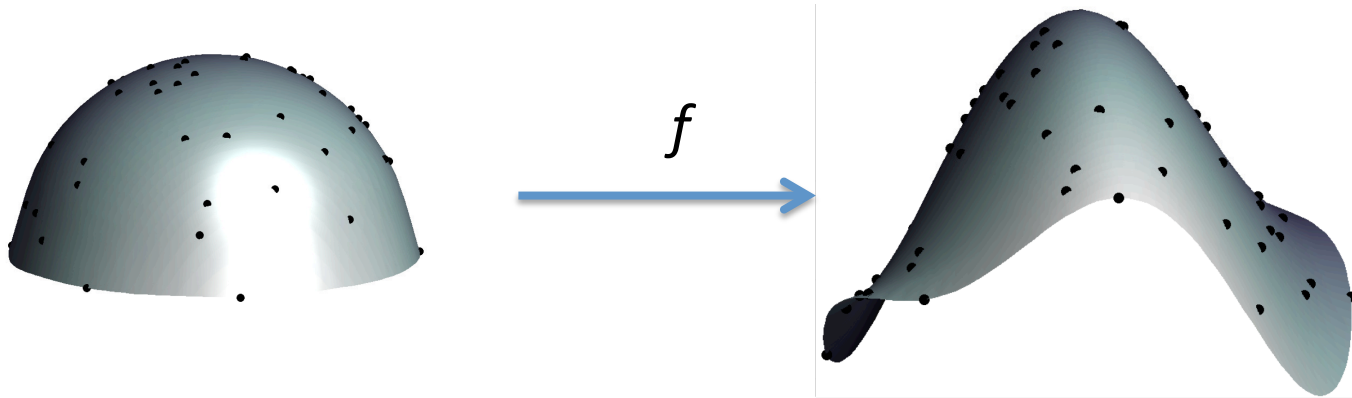
- $M$  = Euclidean space, thin-plate spline model (Duchon, Meinguet, Wahba, Bookstein, ...)
- Closed Riemannian manifolds (P. Kim)
- Prior work on manifold domains mostly theoretical
- Fast empirical approximations



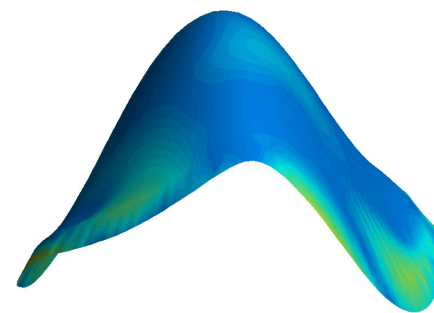
# Bounding Box



# Box Spline



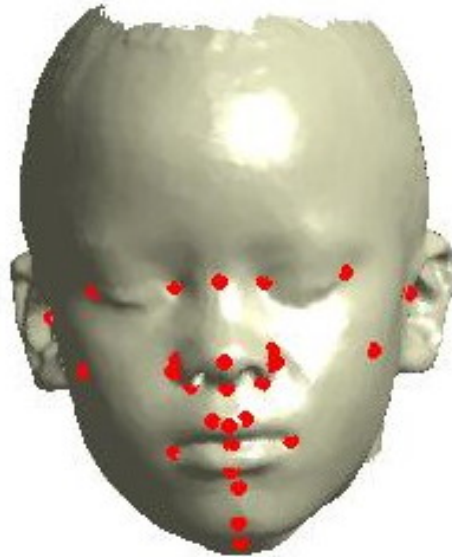
TPS



Box spline

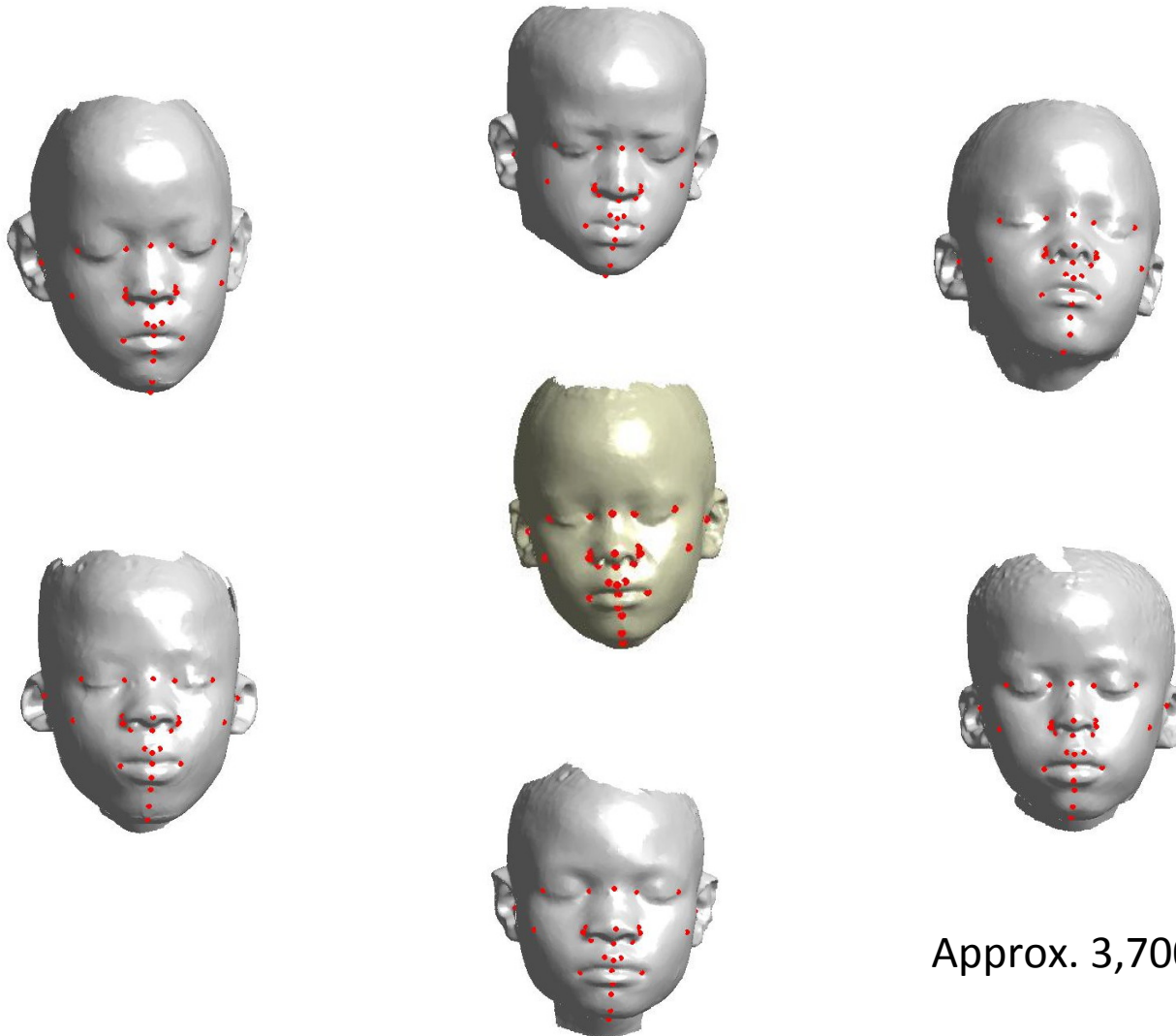
# Back to Automated Landmarking

- Training set: a collection of manually landmarked shapes
- Use the morphing model to construct a landmarked consensus (mean) shape



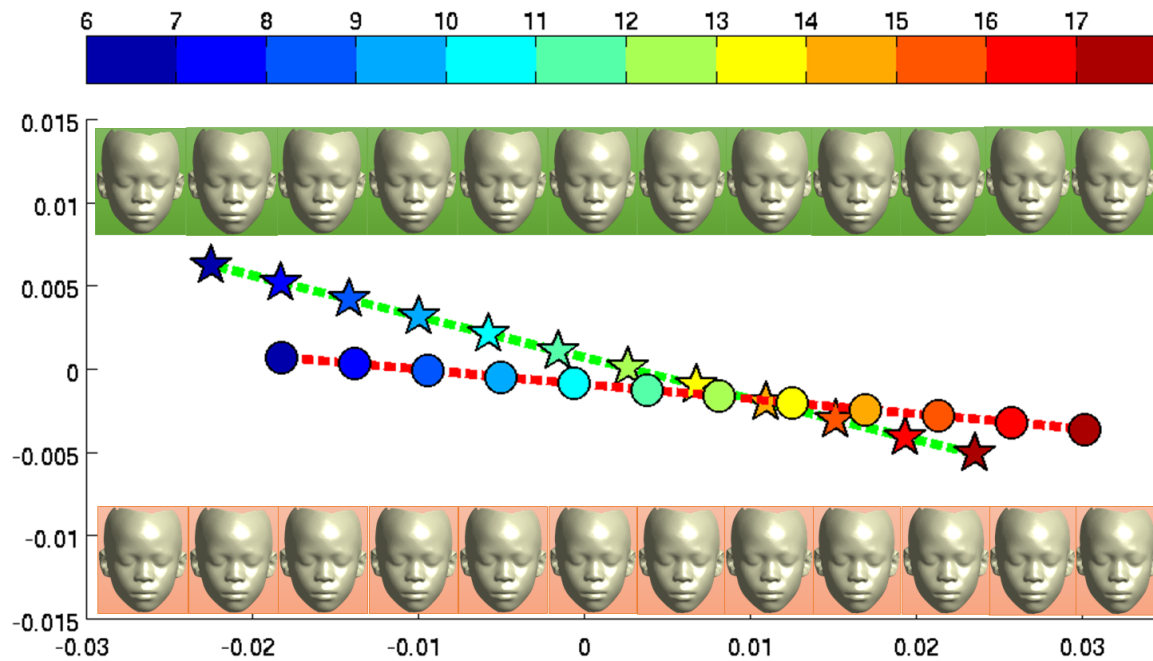
Facial atlas with 29 landmarks

# More on Landmarking

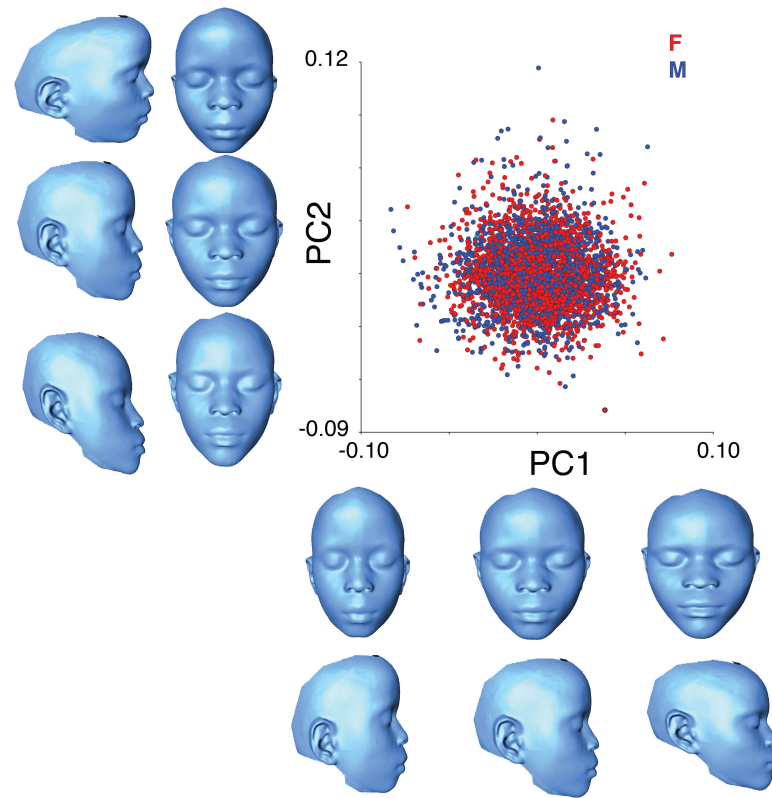


Approx. 3,700 faces

# Morphometric Analysis



# Morphometric Analysis



Principal modes of variation

# Back to SNPs

What SNPs send strong signals of association with facial shape?



## Gene

xxxx

.....

.....

.....

.....

## Trait

philtrum width

upper facial height

upper facial depth

nasal ala length

nasal width

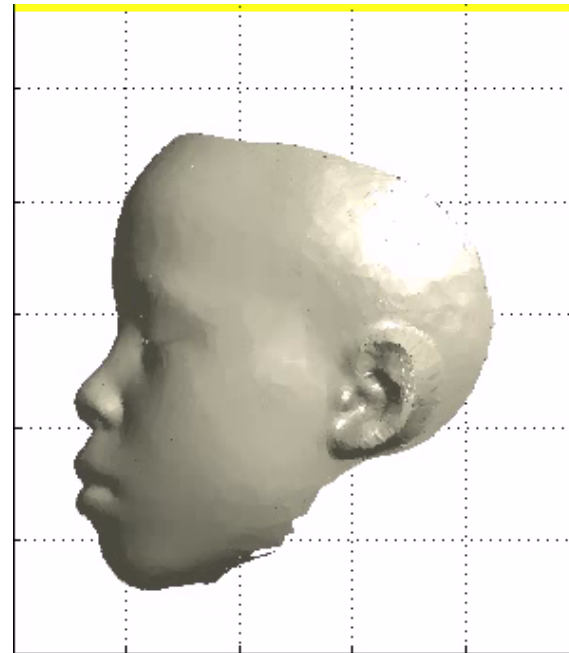
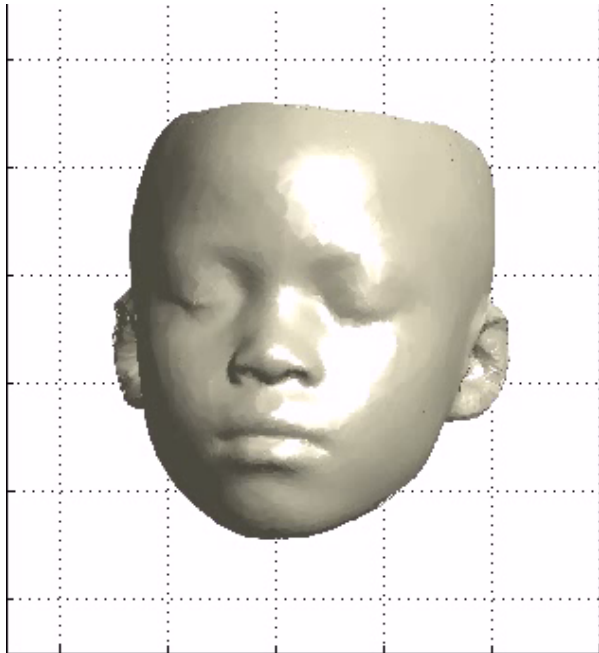
In situ hybridization in the face of developing mice at E9.5 and E10.5 indicate expression of some top hits

# Dense Modeling

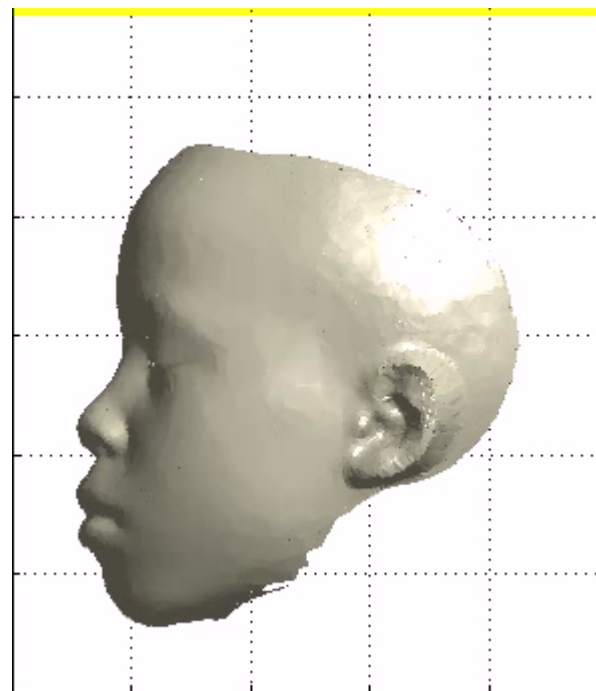
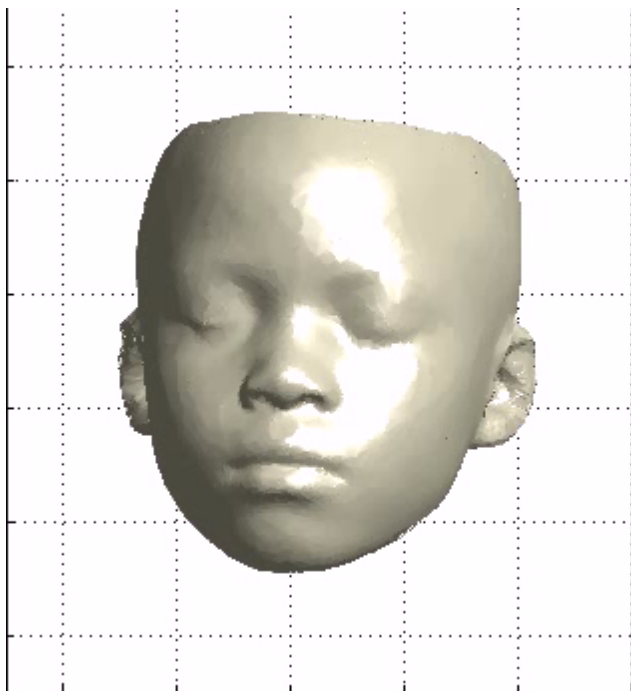




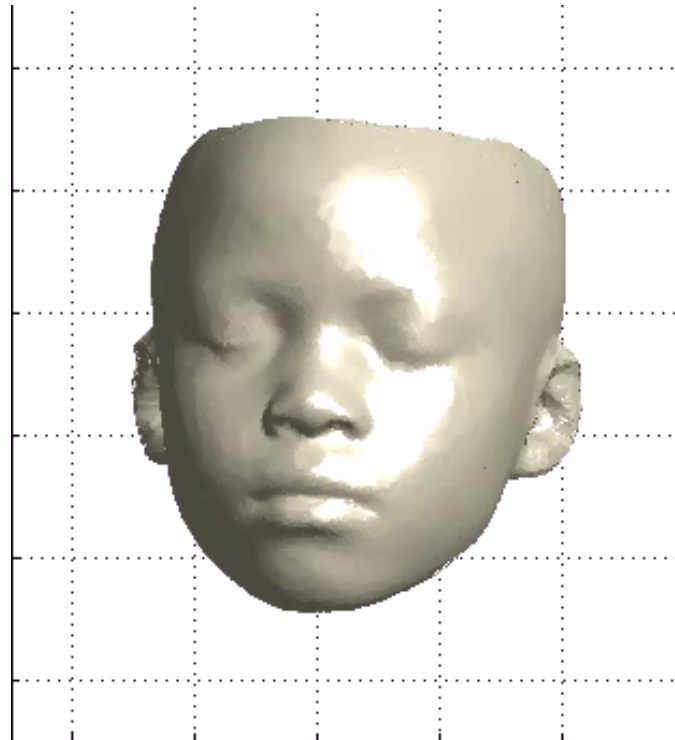
# Main Mode of Variation



# Second Mode



# Third Mode



# Modular Analysis



Two views of the midface

# Summary

- GWAS identified several SNPs (genes) strongly associated with normal facial shape variation
- Topological and geometric methods used to model shape variation
- Replication study and Caucasian GWAS ongoing
- Future analyses: dense representation of full face, face modules, and interactions amongst modules
- FaceBase2: study of a large number of dysmorphic syndromes, both common and rare
- Examples: Down, fetal alcohol, Noonan, Williams, ...

# People

## Collaborators

R. Spritz, Medicine, Colorado-Denver

B. Hallgrimsson, Medicine, Calgary

S. Santorico, Statistics, Colorado-Denver

M. Manyama, Bugando, Tanzania

O. Klein, Medicine, UC San Francisco

## Postdocs & Students

Mao Li, FSU

Qiuping Xu, FSU

Joanne Cole, Colorado

Jacinda Larson, Calgary

Denise Liberton, Calgary

- NIH, 3U01DE020054, *Genetic Determinants of Orofacial Shape and Relationship to Cleft Lip/Palate*, 09/21/09 - 04/30/15
- NSF, DBI-1052942, *Collaborative Research: Biological Shape Spaces, Transforming Shape Into Knowledge*, 09/15/10 - 08/31/14

The End

*Thank You!*